

TEMPLATE FOR EXPRESSION OF INTEREST
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EXPRESSION OF INTEREST

Call for Expression of Interest no.: EaSI-BE/2016/01

Deadline for the submission of the EoI: 19 May 2017

Expression of Interest for: EaSI Technical Assistance

Applicant submitting the Expression of Interest: _____, _____
(company name, registration number)

Dear Sir or Madam,

The undersigned:

.....
 acting in his/her own name (if the economic operator is a natural person or in case of own declaration of a director or person with powers of representation, decision-making or control over the economic operator)

or

acting as a representative (if the economic operator is a legal person)
official name in full (only for legal persons):

.....
official legal form (only for legal persons):

.....
official address in full:

.....
VAT registration number:

.....
declares that he/she or the company or organisation that he/she represents:

- a) is submitting this Application in response to the Open Call for Expression of Interest no. EaSI-BE/2016/01 in the framework of EaSI Technical Assistance implemented by the EIB under the *fi-compass* advisory platform and financed by the European Commission;
- b) all information contained in this Application and its Appendices is complete and correct in all its elements;
- c) is not in one of the situations which would exclude it from taking part in this Open Call for Expression of Interest listed out in Appendix 3 to this Expression of Interest. The undersigned shall, upon request, provide all evidence to that extent.
- d) if selected to receive Technical Assistance, to commit to comply with all requirements set by the EaSI TA or its appointed Service Providers necessary for the successful completion of the Technical Assistance.

Yours sincerely,

Signature(s):

Stamp of the Applicant (if applicable):

Name and position in capital letters:

Applicant's name:

Place:

Date (day/month/year):

Appendices

Appendix 1 Information about the Applicant

Appendix 2 Declaration of Absence of Conflicts of Interest

Appendix 3 Statement regarding Situations of Exclusion

Appendix 4 List of documents to be attached for evaluation

INFORMATION ABOUT THE APPLICANT

1.1 Applicant identification

Information required	
Name of the Applicant	
Contact Details	Address (registered office): Telephone: Fax: E-mail:
Legal Form	
Commercial register, etc. - Registration details	Denomination of register: Date of registration: Country of registration: Registration number:
VAT	Registration number:

1.2 Person authorised to submit the Expression of Interest

Information required	
Title	Mr/Ms/Dr/other (delete or complete as appropriate)
Name	Surname: First name:
Position	
Contact Details	Address: Telephone: Fax: E-mail:

1.3 Person for communications (if different from paragraph 1.2)

Information required	
Title	Mr/Ms/Dr/other (delete or complete as appropriate)
Name	Surname: First name:
Position	
Contact Details	Address: Telephone: Fax: E-mail:

DECLARATION OF ABSENCE OF CONFLICTS OF INTEREST

The undersigned declares that neither (i) the Applicant nor (ii) he/she/they personally as authorised representative(s) of the Applicant have a direct or indirect interest of such a nature and scale that may affect the technical assistance received by the Applicant. The undersigned commits to immediately declare any potential conflict of interest that may arise during the provision of the technical assistance as referred to in the Open Call for Expression of Interest no EaSI-BE/2016/01.

The undersigned is/are aware that a conflict of interest could arise in particular as a result of economic interests, political or national affinities, family or emotional ties, or any other relevant connection or shared interest.

The Applicant will inform EaSI TA, without delay, of any situation constituting a conflict of interest or which could give rise to a conflict of interest.

Furthermore, the Applicant and the undersigned in his/her/their capacity as duly authorised representative(s) of the Applicant confirm to have read the EIB Anti-Fraud Policy, available on the website of EIB (www.eib.org), and declare not to have made nor to make any offer of any type whatsoever from which an advantage can be derived under the Technical Assistance and not to have granted nor to grant, not to have sought nor to seek, not to have attempted nor to attempt to obtain, and not to have accepted nor to accept, any advantage, financial or in kind, to or from any party whatsoever, constituting an illegal practice or involving corruption, either directly or indirectly, as an incentive or reward relating to Technical Assistance.

Yours sincerely,

Signature:

Stamp of the Applicant (if applicable):

Name and position in capital letters:

Applicant's name:

Place:

Date (day/month/year):

STATEMENT REGARDING SITUATIONS OF EXCLUSION

The undersigned:

.....
 acting in his/her own name (if the economic operator is a natural person or in case of own declaration of a director or person with powers of representation, decision-making or control over the economic operator)

or

acting as a representative (if the economic operator is a legal person)
official name in full (only for legal persons):

.....
official legal form (only for legal persons):

.....
official address in full:

.....
VAT registration number:

.....
declares that he/she or the company or organisation that he/she represents is in one of the following situations::

	YES	NO
a) it is bankrupt, subject to insolvency or winding up procedures, its assets are being administered by a liquidator or by a court, it is in an arrangement with creditors, its business activities are suspended or it is in any analogous situation arising from a similar procedure provided for under national legislation or regulations;	<input type="checkbox"/>	<input type="checkbox"/>
b) it has been established by a final judgement or a final administrative decision that the person is in breach of its obligations relating to the payment of taxes or social security contributions in accordance with the law of the country in which it is established, with those of the country in which the contracting authority is located or those of the country of the performance of the contract;	<input type="checkbox"/>	<input type="checkbox"/>
c) it has been established by a final judgement or a final administrative decision that the person is guilty of grave professional misconduct by having violated applicable laws or regulations or ethical standards of the profession to which the person belongs, or by having engaged in any wrongful conduct which has an impact on its professional credibility where such conduct denotes wrongful intent or gross negligence, including, in particular, any of the following:		
(i) fraudulently or negligently misrepresenting information required for the verification of the absence of grounds for exclusion or the fulfilment of selection criteria or in the performance of a contract;	<input type="checkbox"/>	<input type="checkbox"/>
(ii) violating intellectual property rights;	<input type="checkbox"/>	<input type="checkbox"/>
(iii) attempting to influence the decision-making process of the contracting authority during the award procedure;	<input type="checkbox"/>	<input type="checkbox"/>
(iv) attempting to obtain confidential information that may confer upon it undue advantages in the award procedure;	<input type="checkbox"/>	<input type="checkbox"/>
d) it has been established by a final judgement that the person is guilty of the following:		

<p>(i) fraud, within the meaning of Article 1 of the Convention on the protection of the European Communities' financial interests, drawn up by the Council Act of 26 July 1995;</p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(i) corruption, as defined in Article 3 of the Convention on the fight against corruption involving officials of the European Communities or officials of EU Member States, drawn up by the Council Act of 26 May 1997, and in Article 2(1) of Council Framework Decision 2003/568/JHA, as well as corruption as defined in the legal provisions of the country where the contracting authority is located, the country in which the person is established or the country of the performance of the contract;</p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(ii) participation in a criminal organisation, as defined in Article 2 of Council Framework Decision 2008/841/JHA;</p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(iii) money laundering or terrorist financing, as defined in Article 1 of Directive 2005/60/EC of the European Parliament and of the Council;</p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(iv) terrorist-related offences or offences linked to terrorist activities, as defined in Articles 1 and 3 of Council Framework Decision 2002/475/JHA, respectively, or inciting, aiding, abetting or attempting to commit such offences, as referred to in Article 4 of that Decision;</p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(v) child labour or other forms of trafficking in human beings as defined in Article 2 of Directive 2011/36/EU of the European Parliament and of the Council;</p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>e) it has been established by a final judgment or final administrative decision that the person has committed an irregularity within the meaning of Article 1(2) of Council Regulation (EC, Euratom) No 2988/95;</p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>f) for the situations of grave professional misconduct, fraud, corruption, other criminal offences, significant deficiencies in the performance of the contract or irregularity, the applicant is subject to:</p> <p>(i) facts established in the context of audits or investigations carried out by the Court of Auditors, OLAF or internal audit, or any other check, audit or control performed under the responsibility of an authorising officer of an EU institution, of a European office or of an EU agency or body;</p> <p>(ii) non-final administrative decisions which may include disciplinary measures taken by the competent supervisory body responsible for the verification of the application of standards of professional ethics;</p> <p>(iii) decisions of the ECB, the EIB, the European Investment Fund or international organisations;</p> <p>(iv) decisions of the Commission relating to the infringement of the Union's competition rules or of a national competent authority relating to the infringement of Union or national competition law; or</p> <p>(v) decisions of exclusion by an authorising officer of an EU institution, of a European office or of an EU agency or body.</p>	<input type="checkbox"/>	<input type="checkbox"/>

The Applicant and the undersigned in his/her/their capacity as duly authorised representative of the Applicant commit to provide all documentary evidence to the above, upon request by the EaSI TA.

Signature:

Stamp of the Applicant (if applicable):

Name and position in capital letters:

Applicant's name:

Place:

Date (day/month/year):

CHECK-LIST OF DOCUMENTS TO BE ATTACHED FOR EVALUATION

Base documents to be attached to the Expression of Interest		
a. Information about the Applicant (as per template provided in Appendix 1) and a description of the Applicant (date of incorporation, geographical scope, ownership etc.).		
b. Official registration of the Applicant evidencing the statute under which it operates (e.g. non-governmental organisation, foundation, non-bank financial institution, licensed bank)		
c. As the case may be, evidence of a previous participation to an EU-funded microfinance programme (e.g. but not limited to Progress Microfinance, European Parliament Preparatory Action, JEREMIE, European Social Fund), or other national/ regional/ local funding initiatives.		
d. Depending on the type of microcredit provider, evidence of the Applicant subscription to or endorsement of the European Code of Good Conduct for Microcredit Provision promoted by the European Commission. See Annex 2, Eligibility Criterion 1.3,		
e. Expression of Interest duly signed.		
f. Declaration of absence of conflicts of interest as per template provided in Appendix 2, duly signed.		
g. Statement regarding situations of exclusion as per template provided in Appendix 3, duly signed.		
Documents to be attached in case the Applicant is a Non-Bank Financial Institution or Greenfield MFI	Documents to be attached in case the Applicant is a Licensed Bank	Documents to be attached in case the Applicant is a Guarantee Granting Entity
h1. A description of all microfinance products offered to final clients (i.e. amount, interest rate, economic sector addressed).	h2. A description of the microcredit products showing that they exclusively target income generating activities (i.e. working capital and/or investments); and cover at least two different economic sectors (e.g. agriculture and trade)	h3. A description of the microcredit portfolio contained in the portfolio of the Credit Granting Entity as aggregate data of the MCPs that is included in the Guarantee portfolio; e.g. for products showing that they exclusively target income generating activities (i.e. working capital and/or investments); and cover at least two different economic sectors (e.g. agriculture and trade).
i1. Details of the overall microcredit portfolio over the last three calendar years, including all data requested for evaluation of the eligibility and selection criteria.	i2. A description of the Applicant's microfinance program, including (i) the type of target borrowers, (ii) expected volumes and evolutions for the next 3-5 years.	i3. A description of the Applicant's guarantee program for microfinance, including (i) the type of target borrowers, (ii) expected volumes and evolutions for the next 3-5 years.
j1. In case the Applicant is a Greenfield MFI, a business plan for the next three years showing the Applicant's strategy to grow,	j2. Details on the microcredit portfolio over the last three calendar years, including all data requested for evaluation of	j3. Details on the microcredit portfolio under guarantee over the last three calendar years, including all data requested for

to optimize its social impact on the market it serves and to face potential competition.	the eligibility and selection criteria.	evaluation of the eligibility and selection criteria.
	k2. A sworn statement that the Applicant was never rated by specialised microfinance rating agencies.	k3. A sworn statement that the Applicant was never rated by specialised microfinance rating agencies.
	l2. Credit scoring methodology (if applicable)	l3. Credit scoring methodology (if applicable)